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| <b>TO:</b><br><b>Commissioner of Patents and Trademarks</b><br><b>Washington, D.C. 20231</b> | <b>REPORT ON THE</b><br><b>FILING OR DETERMINATION OF AN</b><br><b>ACTION REGARDING A PATENT OR</b><br><b>TRADEMARK</b> |
|--|---|

In Compliance with 35 § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court \_\_\_\_\_ on the following ☒ Patents or ☐ Trademarks:

|  |                                |  |
|--|--------------------------------|--|
| DOCKET NO.<br>99-744 CAL                     | DATE FILED<br>2/22/99          | U.S. DISTRICT COURT<br>Northern District of California |
| PLAINTIFF<br>ADVANCED CARDIOVASCULAR SYSTEMS |                                | DEFENDANT<br>CORDIS CORPORATION                        |
| PATENT OR<br>TRADEMARK NO.                   | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK                          |
| 1 5,421,955                                  | 6/6/95                         |  |
| 2 5,514,154                                  | 5/7/96                         |  |
| 3 5=603,721                                  | 2/18/97                        |  |
| 4 5,728,158                                  | 3/17/98                        |  |
| 5 5,569,295                                  | 10/29/96                       |  |

**SOLICITOR**  
**MAR 03 1999**  
 U.S. PATENT & TRADEMARK OFFICE

In the above-entitled case, the following patent(s) have been included:

|                            |   |                               |  |  |
|----------------------------|---|-------------------------------|--|--|
| DATE INCLUDED              | INCLUDED BY<br><input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |                               |  |  |
| PATENT OR<br>TRADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK  | HOLDER OF PATENT OR TRADEMARK |  |  |
| 1                          |   |                               |  |  |
| 2                          |   |                               |  |  |
| 3                          |   |                               |  |  |
| 4                          |   |                               |  |  |
| 5                          |   |                               |  |  |

In the above-entitled case, the following decision has been rendered or judgment issued:

|                   |
|-------------------|
| DECISION/JUDGMENT |
|-------------------|

|       |                   |      |
|-------|-------------------|------|
| CLERK | (BY) DEPUTY CLERK | DATE |
|-------|-------------------|------|

Copy 1—Upon initiation of action, mail this copy to Commissioner Copy 3—Upon termination of action, mail this copy to Commissioner  
 Copy 2—Upon filing document adding patent(s), mail this copy to Commissioner Copy 4—Case file copy